



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/672,330

09/28/2000

Nao Pao Lee

S63.2-9176

490 VIDAS, ARRETT & STEINKRAUS, P.A. 6109 BLUE CIRCLE DRIVE **SUITE 2000** MINNETONKA, MN 55343-9185



FORMALITIES LETTER *OC000000005562917*

Date Mailed: 11/17/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

A copy of this notice MUST be returned with the reply.

Customer Service Center-

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09672330 01/10/2001 SDUONG 00000037 220350

01 FC:101 02 FC:105

20.00 CH

710.00 OP 110.00 OP

11/16/00





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Nao Pao Lee, et al

Application No.:

09/672,330

Filed:

September 28, 2000

For:

Process For Forming A Medical Device

Balloon

Examiner:

Not yet assigned

Group Art Unit:

Not yet assigned

Box Missing Parts

Commissioner for Patents

Washington, D.C. 20231

Docket No.: S63.2-9176

REPLY TO NOTICE OF MISSING PARTS

In reply to the Notice of Missing Parts, mailed 11/17/2000, please find the enclosed inventors declaration and a check in the amount of \$820.00, together with a copy of the Notice.

Also enclosed please find:

37 CFR 3.73(b) Certificate with copy of assignment;

Power of Attorney from assignee; and

Copy of Limited Authorization

In view of these documents the application is being prosecuted on behalf of the assignee.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS, P.A.

Date: December 29, 2000

By:

Walter J. Steinkraus

Registration No. 29,592

Suite 2000

6109 Blue Circle Drive

Minnetonka, MN 55343-9185

Phone: (952) 563-3000 Facsimile: (952) 563-3001

F:\wpwork\wjs\9176-reply





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Nao Pao Lee, et al

Application No.:

09/672,330

Filed:

September 28, 2000

For:

Process For Forming A Medical Device Balloon

Examiner:

Not yet assigned

Group Art Unit:

Not yet assigned

Box Missing Parts

Commissioner for Patent Washington, D.C. 20231

Docket No.: S63.2-9176

TRANSMITTAL LETTER

In regard to the above-identified application, we are submitting the attached: 1. 1 pg Notice to File Missing Parts; 3 pg Declaration; 1 pg Power of Atty; 1 check in amount of \$820.00; 1 pg 3.73(b) Certif with copy of Assignment; 1 pg Limited Authorization; 1 pg Reply to Notice of Missing Parts; VA&S transmittal and postcard.

- With respect to fees: 2.
 - No additional fee is required.
 - Attached is check(s) in the amount of \$820.00.
 - Charge additional fee to our Deposit Account No. 22-0350.

CONDITIONAL PETITION FOR EXTENSION OF TIME 3.

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.

Notwithstanding paragraph 2 above, if any additional fees associated with this communication are 4. required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350. Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

VIDAS, ARRETT & STEINKRAUS

Date:

December 29, 2000

Walter J. Steinkraus

Registration No. 29,592

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Box Missing Parts, Commissioner for Patents, Washington D.C. 20231, on December 29, 2000.